



STEIN IP LLC
INTELLECTUAL PROPERTY LAW

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July 21, 2014

VIA EMAIL

Ms. Christine Edwards
Contracting Officer
U.S. Environmental Protection Agency
OARM/OAM/HPOD/PCSC
Ariel Rios Building
1200 Pennsylvania Ave., N.W.
Washington, DC 20460

Re: RFQ DC-14-00191
EPA Invention 771-12
For: STATIN RESCUE
Inventors: Gary R. Klinefelter et al.
Our Docket: To Be Assigned

Dear Ms. Edwards:

Thank you for the opportunity to provide a bid for our services for the above-identified request for quotation (RFQ).

Our estimate follows:

Line Item 0001 – Patent Application (i.e., prepare and file a patent application for EPA case 771-12) in accordance with SOW Items 3.1 through 3.1.9, inclusive, unless otherwise noted below. Our fee for legal services will be (b)(4)

SOW Item 3.1.1 and 3.1.2 – From the materials provided in this RFQ, there is a deadline of July 29, 2014 for filing a patent application and retain the benefit of the earlier filed provisional application. There is a significant possibility that a continuation-in-part application may ultimately be necessary. Our fee for legal services is for the first application. Fees for legal services for additional work will be negotiated during the Base Period or a later Option Period.

SOW Item 3.1.4, Petition to Make Special, service fee of \$0. As you noted, when the basis of the petition is improvement of environmental quality, there is no PTO fee. If a continuation-in-part application is likely, this step may not be necessary. We will seek EPA's approval before filing same.

SOW Item 3.1.5, Information Disclosure Statement (IDS), service fee of \$0. Several publications are listed in the Provisional Application and should be filed with the U.S. Patent and Trademark Office (PTO). We would need copies of all journal publications, public reports and presentations cited in the Provisional Application, and any public information about related work on (b)(4) not listed in the Provisional Application to include in an IDS. The patents and patent applications listed need only be

listed by inventor, number and date so copies are not necessary, but could be useful to have in our files. An IDS can be filed up to the time of first examination without any PTO fees.

SOW Item 3.1.6, Assignment and Declaration Documents. After passage of the America Invents Act, we have combined the Declaration and Assignment form into one document. There is no fee for services for either. However, if the Declaration is filed after filing of the application, there is a PTO fee for the Declaration (see below). Fee for Services (b)(4)

SOW Item 3.1.7, U.S. Drawings, Fee for Services estimated to be \$300 if patent drawings are deemed unacceptable by the PTO, but EPA has a NTE amount for this line item. (b)(4)

(b)(4)

(b)(4)

SOW Item 3.1.8, Forwarding Official Actions, and an allowance for up to two (2) hours of telephonic discussions and negotiations with the PTO and for preparation of routine documents, service fee (b)(4)

SOW Item 3.1.8.1, Restriction Requirement. Our fee for replying to a Restriction Requirement is (b)(4) The time limit for filing a Restriction Election is short, typically one month, before PTO extension fees apply.

SOW Item 3.1.9 US PTO Fees. (b)(4)

(b)(4)

Line Item 0002 – Follow-on Actions, NTE amount, to be set prior to award per EPA's instructions.

Line Item 1001 – Follow-on Actions, NTE amount, to be set prior to award per EPA's instructions.

Line Item 2001 – Follow-on Actions, NTE amount, to be set prior to award per EPA's instructions.

Line Item 3001 – Follow-on Actions, NTE amount, to be set prior to award per EPA's instructions.

Line Item 4001 – Follow-on Actions, NTE amount, to be set prior to award per EPA's instructions.

We note that Stein IP LLC is a small business under the North American Industry Classification System (NAICS) code 541199, All Other Legal Services, in accordance with FAR19.102(b).

Under FAR Subpart 13.1 – Procedures, the Award will be made to the offeror who submits a technically acceptable quote with the lowest evaluated price.

(b)(4)

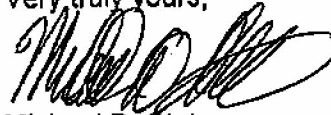
PAST PERFORMANCE – the foregoing details of the above 4 noted attorneys reveals their and Stein IP's extensive past performance in working with the EPA, understanding its procedures and the relevant technologies. (b)(4)

(b)(4)

(b)(4)

Please contact me if you have any additional questions at 202-216-9505. (b)(4)
(b)(4) or (b)(4) We look forward to the opportunity to assist EPA in this endeavor.

Very truly yours,



Michael D. Stein

USER NAME: PASSWORD: **LOGIN**
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Entity Dashboard

STEIN MCEWEN LLP

DUNS: (b)(4) CAGE Code: 4MYE6

Status: Active

1400 I ST NW STE 300
 WASHINGTON, DC, 20005-6500,
 UNITED STATES

[Entity Overview](#)

[Entity Record](#)

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Current Record

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I have read each of the FAR and DFARS provisions presented below. By submitting this certification I, Michael Stein, am attesting to the accuracy of the representations and certifications contained herein, including the entire NAICS table. I understand that I may be subject to penalties if I misrepresent STEIN MCEWEN LLP in any of the below representations or certifications to the Government.

READ ONLY PROVISIONS - The following FAR and DFARS provisions are provided for you to read; they do not require completion of any data. Please note that when certifying at the bottom of the page, you are also certifying that you have read each one of these provisions.

[FAR 52.203-11: Certification and Disclosure Regarding Payments to Influence Certain Federal Transactions](#)

[FAR 52.222-38: Compliance with Veterans Employment Reporting Requirements](#)

[FAR 52.223-1: Biobased Product Certification](#)

[FAR 52.225-29: Prohibition on Conducting Restricted Business Operations in Sudan-Certification](#)

[FAR 52.225-26: Prohibition on Contracting with Entities Engaging in Certain Activities or Transactions Relating to Iran - Representation and Certifications](#)

[FAR 52.224-6: Royalty Information \(Alternate 1\)](#)

[FAR 52.209-2: Prohibition on Contracting with Inverted Domestic Corporations-Representation](#)

[DFARS 252.209-77021: Disclosure of Ownership or Control by the Government of a Terrorist Country](#)

[DFARS 252.209-7001: Reserve Officer Training Corps and Military Recruiting on Campus-Representation](#)

[DFARS 252.225-7001: Report of Intended Performance Outside the United States and Canada-Submission with Offer](#)

[DFARS 252.225-7021: Secondary Arab Boycott of Israel](#)

[DFARS 252.225-7052: Authorization to Perform](#)

[DFARS 252.229-7012: Tax Exemptions \(Italy\) Representation](#)

[DFARS 252.229-7013: Tax Exemptions \(Spain\)-Representation](#)

[DFARS 252.239-7011: Special Construction and Equipment Charges](#)

[DFARS 252.244-7023: Transportation of Supplies by Sea \(Alternate 1, II & III\)](#)

The FAR and DFARS provisions shown below have been populated based on data you provided earlier in your registration. Please open and review each provision before you proceed from this page. If you need to correct any data, a link will be provided to the relevant page for editing.

[FAR 52.203-7: Certificate of Independent Price Determination](#)

[FAR 52.209-3: Taxpayer Identification](#)

FAR 52.201-5: Women-Owned Business (Other Than Small Business)FAR 52.209-1: Certification Regarding Responsibility MattersFAR 52.212-1: Offeror Representations and Certifications -Commercial Items (Alternate I & II)

The NAICS for which you are identified as small are shown in the table below; and serves to complete the representation in 52.212-3(c)(1).

You are certifying to all of the NAICS in the table so please review it in its entirety. These Y/N answers are located in the "Small Business?" column where a "Y" indicates "Small" and "N" indicates "Other than Small". This status is derived from the SBA's size standards.

The NAICS shown are those you have entered. You may click the "View More" button to see your entity's size status for any existing NAICS.

FAR 52.214-1: Place of Performance- Sealed BiddingFAR 52.214-6: Place of PerformanceFAR 52.219-1: Small Business Program Representations (Alternate I)

The NAICS for which you are identified as small are shown in the table below; and serves to complete the representation in 52.219 (b)(2).

You are certifying to all of the NAICS in the table so please review it in its entirety. These Y/N answers are located in the "Small Business?" column where a "Y" indicates "Small" and "N" indicates "Other than Small". This status is derived from the SBA's size standards.

The NAICS shown are those you have entered. You may click the "View More" button to see your entity's size status for any existing NAICS.

Small Business Program Representations (Apr 2012)

• (a)

• (1) The North American Industry Classification System (NAICS) code for this acquisition is See Note.*

• (2) The small business size standard is See Note.

• (3) The small business size standard for a concern which submits an offer in its own name, other than on a construction or service contract, but which proposes to furnish a product which it did not itself manufacture, is 500 employees.

• (b) Representations.

NAICS Code	Name	NAICS Exception	Size Standard	Small Business?
531110	LESSORS OF NONFINANCIAL INTANGIBLE ASSETS (EXCEPT COPYRIGHTED WORKS)		\$35,500,000.00	Y
541110	OFFICES OF LAWYERS		\$10,000,000.00	Y
541199	ALL OTHER LEGAL SERVICES		\$10,000,000.00	Y
922130	LEGAL COUNSEL AND PROSECUTION			N/A

[VIEW MORE](#)

• (1)** The offeror represents as part of its offer that it is not a small business concern.

• (2) [Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.] The offeror represents, for general statistical purposes, that it is not a small disadvantaged business concern as defined in 13 CFR 124.1002.

• (3)** [Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.] The offeror represents as part of its offer that it is not a women-owned small business concern. (See Below)

• (4)** Women owned small business (WOSB) concern eligible under the WOSB Program. [Complete only if the offeror represented itself as a women-owned small business concern in paragraph (b)(3) of this provision] The offeror represents as part of its offer that:

- (i) It is not, a WOSB concern eligible under the WOSB Program, has provided all the required documents to the WOSB Repository, and no change in circumstances or adverse decisions have been issued that affects its eligibility; and
 - (ii) It is not, a joint venture that complies with the requirements of 13 CFR part 127, and the representation in paragraph (c)(6)(i) of this provision is accurate in reference to the WOSB concern or concerns that are participating in the joint venture. [The offeror shall enter the name or names of the WOSB concern or concerns that are participating in the joint venture:] Each WOSB concern participating in the joint venture shall submit a separate signed copy of the WOSB representation.
- (5)** Economically disadvantaged women-owned small business (EDWOSB) concern. [Complete only if the offeror represented itself as a women-owned small business concern eligible for the WOSB Program in (b)(4) of this provision.] The offeror represents as part of its offer that:
- (i) It is not, an EDWOSB concern eligible under the WOSB Program, has provided all the required documents to the WOSB Repository, and no change in circumstances or adverse decisions have been issued that affects its eligibility; and
 - (ii) It is not, a joint venture that complies with the requirements of 13 CFR part 127, and the representation in paragraph (c)(7)(i) of this provision is accurate in reference to the EDWOSB concern or concerns that are participating in the joint venture. [The offeror shall enter the name or names of the EDWOSB concern or concerns that are participating in the joint venture:] Each EDWOSB concern participating in the joint venture shall submit a separate signed copy of the EDWOSB representation.
- (6) [Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.] The offeror represents as part of its offer that it is not, a veteran-owned small business concern.
- (7)** [Complete only if the offeror represented itself as a veteran-owned small business concern in paragraph (b)(6) of this provision.] The offeror represents as part of its offer that it is not, a service-disabled veteran-owned small business concern.
- *"If you are responding to a Government solicitation for supplies or services under a NAICS code not listed in paragraph (b) of this certification, you must provide this certification directly to the Contracting Officer.*
 - *"Small business concern, Veteran-owned small business concern, Service-disabled veteran-owned small business concern, and Women-owned small business concern size status is calculated based on the Small Business Administration size standard for each NAICS code using the size metrics (e.g. Average Number of Employees and Average Annual Receipts) provided by STEIN MCEWEN LLP in their SAM registration.*
- (8) [Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.] The offeror represents, as part of its offer, that:
- (i) It is not, a HUBZone small business concern listed, on the date of this representation, on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration, and no material change in ownership and control, principal office, or HUBZone employee percentage has occurred since it was certified by the Small Business Administration in accordance with 13 CFR part 126; and
 - (ii) It is not, a HUBZone joint venture that complies with the requirements of 13 CFR part 126, and the representation in paragraph (b)(8)(i) of this provision is accurate for each HUBZone small business concern participating in the HUBZone joint venture. [The offeror shall enter the names of each of the HUBZone small business concerns participating in the HUBZone joint venture:] Each HUBZone small business concern participating in the joint venture shall submit a separate signed copy of the HUBZone representation.
- (c) Definitions. As used in this provision:
- "Economically disadvantaged women-owned small business (EDWOSB) concern" means a small business concern that is at least 51 percent directly and unconditionally owned by, and the management and daily business operations of which are controlled by, one or more women who are citizens of the United States and who are economically disadvantaged in accordance with 13 CFR part 127. It automatically qualifies as a women-owned small business concern eligible for the WOSB Program.
- "Service-disabled veteran-owned small business concern"
- (1) Means a small business concern-
 - (i) Not less than 51 percent of which is owned by one or more service-disabled veterans or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more service-disabled veterans; and

- (ii) The management and daily business operations of which are controlled by one or more service-disabled veterans or, in the case of a veteran with permanent and severe disability, the spouse or permanent caregiver of such veteran.
- (2) Service-disabled veteran means a veteran, as defined in 38 U.S.C. 101(2), with a disability that is service-connected, as defined in 38 U.S.C. 101(16).
- "Small business concern" means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding on Government contracts, and qualified as a small business under the criteria in 13 CFR part 121 and the size standard in paragraph (e) of this provision.
 - "Veteran-owned small business concern" means a small business concern-
 - (1) Not less than 51 percent of which is owned by one or more veterans (as defined at 38 U.S.C. 101(2)) or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more veterans; and
 - (2) The management and daily business operations of which are controlled by one or more veterans.
 - "Women-owned small business concern" means a small business concern-
 - (1) That is at least 51 percent owned by one or more women; or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more women; and
 - (2) Whose management and daily business operations are controlled by one or more women.
- "Women-owned small business (WOSB) concern eligible under the WOSB Program" (in accordance with 13 CFR part 127), means a small business concern that is at least 51 percent directly and unconditionally owned by, and the management and daily business operations of which are controlled by, one or more women who are citizens of the United States.
- (d) Notice.
 - (1) If this solicitation is for supplies and has been set aside, in whole or in part, for small business concerns, then the clause in this solicitation providing notice of the set-aside contains restrictions on the source of the end items to be furnished.
 - (2) Under 15 U.S.C. 645(d), any person who misrepresents a firm's status as a business concern that is small, HUBZone small, small disadvantaged, service-disabled veteran-owned small, economically disadvantaged woman-owned small, or women-owned small eligible under the WOSB Program in order to obtain a contract to be awarded under the preference programs established pursuant to section 8, 9, or 15, 31, and 36 of the Small Business Act or any other provision of Federal law that specifically references section 8(d) for a definition of program eligibility, shall
 - (i) Be punished by imposition of fine, imprisonment, or both;
 - (ii) Be subject to administrative remedies, including suspension and debarment; and
 - (iii) Be ineligible for participation in programs conducted under the authority of the Act.

Alternate I (Apr 2011)

- (9) [Complete if offeror represented itself as disadvantaged in paragraph (b)(2) of this provision.] The offeror shall check the category in which its ownership falls:
- Black American.
 - Hispanic American.
 - Native American (American Indians, Eskimos, Aleuts, or Native Hawaiians).
 - Asian-Pacific American (persons with origins from Burma, Thailand, Malaysia, Indonesia, Singapore, Brunei, Japan, China, Taiwan, Laos, Cambodia (Kampuchea), Vietnam, Korea, The Philippines, U.S. Trust Territory of the Pacific Islands (Republic of Palau), Republic of the Marshall Islands, Federated States of Micronesia, the Commonwealth of the Northern Mariana Islands, Guam, Samoa, Macao, Hong Kong, Fiji, Tonga, Kiribati, Tuvalu, or Nauru).
 - Subcontinent Asian (Asian-Indian) American (persons with origins from India, Pakistan, Bangladesh, Sri Lanka, Bhutan, the Maldives Islands, or Nepal).

Individual/concern, other than one of the preceding.

52.219-2: Equal Low Bids

FAR 52.219-2: Small Disadvantaged Business Status (Alternate I)

The NAICS for which you are identified as small are shown in the table below, and serves to complete the representation in 52.212-3(c)(1). You are certifying to all of the NAICS in the table so please review it in its entirety. These Y/N answers are located in the "Small Business?" column where a "Y" indicates "Small" and "N" indicates "Other than Small". This status is derived from the SBA's size standards. The NAICS shown are those you have entered. You may click the "View More" button to see your entity's size status for any existing NAICS.

FAR 52.222-18: Certification Regarding Knowledge of Child Labor for Listed End Products

FAR 52.222-27: Previous Contracts and Compliance Reports

FAR 52.222-29: Affirmative Action Compliance

FAR 52.222-48: Exemption from Application of the Service Contract Act to Contracts for Maintenance, Calibration, or Repair of Certain Equipment Certification

FAR 52.222-52: Exemption from Application of the Service Contract Act to Contracts for Certain Services Certification

FAR 52.223-4: Recovered Material Certification

FAR 52.223-9: Estimate of Percentage of Recovered Material Content for EPA-Designated Items (Alternate I)

FAR 52.223-2: Buy American Act Certificate

FAR 52.225-2: Buy American Act-Free Trade Agreements-Israeli Trade Act Certificate (Alternate I, II & III)

FAR 52.225-6: Trade Agreements Certificate

FAR 52.226-2: Historically Black College or University and Minority Institution Representation

FAR 52.227-15: Representation of Limited Rights Data and Restricted Computer Software

DFARS 252.269-7002: Disclosure of Ownership or Control by a Foreign Government

DFARS 252.216-7508: Economic Price Adjustment-Wage Rates or Material Prices Controlled by a Foreign Government-Representation

DFARS 252.225-7000: Buy American-Balance of Payments Program Certificate

DFARS 252.245-7030: Trade Agreements Certificate

DFARS 252.242-7022: Trade Agreements Certificate - Inclusion of Israeli End Products

DFARS 252.245-7035: Buy American Act-Free Trade Agreements-Balance of Payments Program Certificate (Alternate I, II, III, IV & V)

DFARS 252.247-7022: Representation of Extent of Transportation by Sea

